



# UNITED STATES PATENT AND TRADEMARK OFFICE

*cen*

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/734,120

12/15/2003

Boris Ginzburg

P-6222-US

4845

49444 7590 05/21/2007  
PEARL COHEN ZEDEK LATZER, LLP  
1500 BROADWAY, 12TH FLOOR  
NEW YORK, NY 10036

EXAMINER

HOM, SHICK C

ART UNIT

PAPER NUMBER

2616

MAIL DATE

DELIVERY MODE

05/21/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/734,120	<b>Applicant(s)</b> GINZBURG ET AL.	
	<b>Examiner</b> Shick C. Hom	<b>Art Unit</b> 2616	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 12/15/03 & IDS of 5/24/05.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>12/15/03, 5/24/05</u> | 6) <input type="checkbox"/> Other: _____  |

Art Unit: 2616

**DETAILED ACTION**

***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-6, 10-16, 18-25, 28-32, 34-37, and 39-40 are rejected under 35 U.S.C. 102(b) as being anticipated by Hammons, Jr. et al. (2002/0165626).

Regarding claims 1, 10, 13, 18, 20, 22, 28, 30, 34, and 39:

Hamons, Jr. et al. disclose an apparatus comprising a controller to selectively provide a data-frame of a signal to be transmitted to either a frequency-multiplexing modem or a spatial-multiplexing modem based on a predetermined criterion (the abstract recite a base station that is configured to transmit signal that is modulated according to a pre-determined modulation scheme and an frequency division multiplexing scheme in a radio communications system; paragraph 0088 recite the base

Art Unit: 2616

station being quipped to generate space-time or space-frequency codes clearly reads on spatial-multiplexing and frequency-multiplexing, respectively; paragraph 0099 recite the use of the modem; and paragraph 0093 recite the data being frame).

Regarding claims 2-3, 14-15, 23-25, 31-32, and 35-36:

Hamons, Jr. et al. disclose wherein said predetermined criterion comprises a comparison between a channel quality value and a predetermined reference quality value as in claims 2, 14, 23, 31, 35; and wherein said reference quality value comprises a minimum quality value as in claims 3, 15, 24-25, 32, 36 (paragraph 0038 recite comparing the probability of error versus the signal-to-noise ratio and determining the minimum value to take advantage of the space-time code, i.e. spatial-multiplexing reads on comparison between a channel quality value and a predetermined reference quality value as claimed).

Regarding claim 4:

Hamons, Jr. et al. disclose wherein said channel quality Value is related to one or more data frames previously received or transmitted by the apparatus (paragraphs 0044-0045 recite the use of previously received result for the construction of the space-time code).

Art Unit: 2616

Regarding claims 5, 21, and 29:

Hamons, Jr. et al. disclose wherein said channel quality value corresponds to a channel estimation related to said spatial multiplexing modem (paragraph 0071 recite the channel being approximated piece-wise clearly reads on channel quality value being an estimation).

Regarding claims 6, 11-12, 16, 19, 37, and 40:

Hamons, Jr. et al. disclose wherein said frequency-multiplexing modem comprises a multi-channel modem (paragraph 0011 recite the multi-channel system using frequency division multiplexing modulation; and paragraph 0099 recite the modem).

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Art Unit: 2616

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary.

Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 7-9, 17, 26-27, 33, and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hammons, Jr. et al. (2002/0165626) in view of Emilsson et al. (6,498,788).

For claims 7-9, 17, 26-27, 33, and 38, Hammons, Jr. et al. disclose the apparatus and method described in paragraph 2 of this office action. Hammons, Jr. et al. disclose all the subject matter of the claimed invention with the exception of wherein said multi-channel modem comprises channel access control modules assigned to individual frequency channels and single-input-single-output encoding modules to modulate data

Art Unit: 2616

provided by the channel access control modules as in claim 7; single-input-single-output decoding modules as in claim 8; channel selection module to selectively control the assignment of channel access control modules to the individual frequency channels as in claims 9, 17, 38; and the step of periodically repeating said selectively modulating as in claims 27, 28, 33.

Emilsson et al. from the same or similar fields of endeavor teach that it is known to provide wherein said multi-channel modem comprises channel access control modules assigned to individual frequency channels and single-input-single-output encoding modules to modulate data provided by the channel access control modules (col. 4 line 65 to col. 5 line 14 recite the modem including the access function for controlling the radio data channel and encoding of the channel as in claim 7); single-input-single-output decoding modules (col. 8 lines 36-44 recite decoding module at the receiver as in claim 8); channel selection module to selectively control the assignment of channel access control modules to the individual frequency channels (the abstract recite control of the individual elements of the carrier network reads on control of the individual channels as in claims 9, 17, 38); and the step of periodically repeating said selectively modulating (col. 12 lines 57-58

Art Unit: 2616

recite periodical renegotiation of the service goal as in claims claims 27, 28, 33).

Thus, it would have been obvious to the person having ordinary skill in the art at the time the invention was made to provide wherein said multi-channel modem comprises channel access control modules assigned to individual frequency channels and single-input-single-output encoding modules to modulate data provided by the channel access control modules; single-input-single-output decoding modules; channel selection module to selectively control the assignment of channel access control modules to the individual frequency channels; and the step of periodically repeating said selectively modulating as taught by Emilsson et al. in the communications apparatus and method of Hammons, Jr. et al.

The multi-channel modem comprising channel access control modules assigned to individual frequency channels and single-input-single-output encoding modules to modulate data provided by the channel access control modules; single-input-single-output decoding modules; channel selection module to selectively control the assignment of channel access control modules to the individual frequency channels; and the step of periodically repeating said selectively modulating can be implemented by connecting the modems including channel access control modules



Art Unit: 2616

assigned to individual frequency channels and single-input-single-output encoding modules to modulate data provided by the channel access control modules; single-input-single-output decoding modules; and channel selection module to selectively control the assignment of channel access control modules to the individual frequency channels and the step of periodically repeating said selectively modulating of Emilsson et al. to the modulator of Hammons, Jr. et al.

The motivation for using the modem as taught by Emilsson et al. in the communication apparatus and method of Hammons, Jr. et al. being that it provides more efficiency for the system since the system can better manage and control the radio resource in the system.

### **Conclusion**

6. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Chappell et al. disclose a method and apparatus facilitating synchronization in a broadband communications system.

Liu et al. disclose channel allocation in broadband orthogonal frequency-division multiple-access/space-division multiple-access networks.

Art Unit: 2616

Roy, III et al. disclose spatial division multiple access wireless communication systems.

Paulraj et al. disclose spatial multiplexing in a cellular network.

7. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Shick C. Hom whose telephone number is 571-272-3173. The examiner can normally be reached on Mon-Fri.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Seema Rao can be reached on 571-272-3174. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2616

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

SH SH

  
CHI PHAM  
SUPERVISORY PATENT EXAMINER 5/18/07